

NOTES ON UNITS IN QUADRATIC FIELDS

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ABSTRACT. These are notes on units in quadratic fields, taken from a first draft of March 23rd, 2018, to which I have added on November 20th, 2020, this abstract and a few references before putting them on line.

Ambiguous classes. We shall make use of the two formulae below which describe invariant classes in a cyclic extension of prime degree ℓ , especially when $\ell = 2$. These classes are called (from Hilbert) *ambiguous classes*. We refer to Lemmermeyer for the notation and proofs. Thus, let L/K be a cyclic extension of number fields, of degree ℓ and Galois group $G = \langle \sigma \rangle$, $\text{Am}(L/K)$ is the group of invariant classes, and Am_{st} *st for strict* is the subgroup of Am of those classes which contain an invariant ideal. In a finite extension k_1/k_0 , N_{k_1/k_0} (or N for short) denotes the norm, and Tr_{k_1/k_0} (or Tr for short) denotes the trace.

We shall also make use of the some traditional notation relative to a number field k : Cl_k denotes its class group, h_k the order of Cl_k , E_k its unit group, and μ_K its subgroup of roots of unity. Moreover, $\delta_{k'/k}$ denotes the discriminant (an ideal in k) of the finite extension k'/k .

Theorem 0.1. (Ambiguous classes formulae). *The orders of the groups $\text{Am}(L/K)$ and $\text{Am}_{st}(L/K)$ are as follows:*

$$|\text{Am}(L/K)| = h_K \frac{\ell^{t-1}}{[E_K : E_K \cap N(L^*)]} ;$$
$$|\text{Am}_{st}(L/K)| = h_K \frac{\ell^{t-1}}{[E_K : N(E_L)]} .$$

In these formulae t denotes the number of ramified primes in L/K , including the infinite primes.

Note that infinite primes need not be considered unless $\ell = 2$. Another specificity of quadratic extensions is that if $h_K = 1$, then for any $c \in \text{Cl}_L$, we have $c \cdot \sigma c = 1$, so that $c^2 = 1$ holds if and only if c belongs to $\text{Am}_{L/K}$. (If $\ell > 2$ there may exist cyclic fields of degree ℓ having non-invariant classes of order ℓ besides invariant ones.)

In the sequel, given a finite Abelian group A , we set

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$$\ell A = \{x \in A \mid x^\ell = 1\} \quad \text{and} \quad d_\ell(A) = \dim_{\mathbb{F}_\ell} \ell A.$$

Note that we also have (by duality) $d_\ell(A) = \dim_{\mathbb{F}_\ell} A/\ell A$.

Here are a few comments.

- (1) The first unit index divides the second, and equality between them characterizes extensions in which every invariant class contains an invariant ideal.
- (2) If h_K is prime to ℓ and if a unique prime ramifies in L/K , then h_L is also prime to ℓ .
- (3) If L/K is unramified, then some non-principal ideal in K becomes principal in L . (This is Hilbert's theorem 94.)
- (4) Thanks to Hasse's norm theorem in cyclic extensions, the first index can be calculated locally once E_K is known; the second index is of a global nature, and except in special cases, its calculation needs the knowledge of E_L .
- (5) In his thesis, Chevalley extended Theorem 0.1 to arbitrary cyclic extensions, in order to simplify the proofs of Class Field Theory (*CFT* for short). However this generalization is not very useful for explicit calculations of class groups and units.

Related results. Besides Theorem 0.1 we quote two related results, namely the *Hasse index theorem* (1) and the *relative Stickelberger congruence* (2).

(1) *Let K be a CM field (K is a totally imaginary quadratic extension of a totally real number field K_0). Then the Hasse index $[E_K : \mu_K E_{K_0}]$ is equal to 1 or 2.*

(2) *Let L/K be a finite extension of number fields. Then we have $N_{L/K}(\delta_{L/K}) \equiv 0$ or $(1)^c \pmod{4}$, where c denotes the number of complex embeddings of L ramified in L/K (i.e., lying above a real embedding of K). [This reduces to the case of quadratic extensions, the only interesting case. For instance it tells us that in an extension which ramifies only at infinite primes, then c is even.]*

Also ambiguous classes formulae have a “narrow” counterpart, established by Gras; we shall not use them, but shall make use of the *narrow class group* Cl_k^+ (group of fractional ideals modulo its subgroup of principal ideals having a totally positive generator), the order of which we denote by h_k^+ . We have a natural epimorphism $\text{Cl}_k^+ \rightarrow \text{Cl}_k$, the kernel of which is 2-elementary; if k has signature (r_1, r_2) ($r_1 + 2r_2 = [k : \mathbb{Q}]$), its rank is bounded from above by $r_1 - 1$ (0 if $r_1 = 0$).

Quadratic fields: standard results. We consider a quadratic field $K = \mathbb{Q}(\sqrt{m})$ where $m \in \mathbb{Z}$ is square-free, of discriminant d . We consider the three possible congruences $m \equiv 1, 2, 3 \pmod{4}$ related to the exponent (0, 2 or 3) of 2 in d ; we have $d \equiv 1 \pmod{4}$, $d \equiv 0 \pmod{8}$

and $d \equiv 4 \pmod{8}$, and more precisely $d \equiv 1 \pmod{4}$, $d \equiv 8 \pmod{16}$ and $d \equiv 12 \pmod{16}$, respectively.

We denote by r the number of ramified prime numbers in K ; thus if $d < 0$ Theorem 0.1 must be applied with $t = r + 1$. The unit indices are 2 or 1, depending on whether -1 is a norm (from K^* or from E_K), and we have $-1 \in N(K^*)$ if and only if d is a sum of two squares in \mathbb{Q}^* , thus if and only if d is positive and is not divisible by a prime congruent to 3 modulo 4 (Hasse's norm theorem, here equivalent to the Hasse-Minkowski theorem for binary quadratic forms).

Hilbert's results on the 2-rank of class groups of quadratic field can be recovered by applying Theorem 0.1, considering three cases as suggested by the above discussion:

- (1) K imaginary: then $d_2(Cl_K) = r - 1$;
- (2) K is real and at least some prime $\ell \equiv 3 \pmod{4}$ ramifies in K : then $d_2(Cl_K) = r - 2$;
- (3) K is real and no prime $\ell \equiv 3 \pmod{4}$ ramifies in K : then $d_2(Cl_K) = r - 1$.

Moreover all classes of order 2 contain an invariant ideal except in case (3) if a fundamental unit ε has norm -1 , where only half of them contain an invariant ideal.

[Note that in particular h_k is odd if and only if either $r = 1$, or $r = 2$, K is real, and at least one prime $\ell \equiv 3 \pmod{4}$ ramifies in K , and that in the former case, $N(\varepsilon) = -1$ if K is real.]

The special rule which holds in case (2) disappears if we consider the narrow class group Cl_K^+ instead of the ordinary class group Cl_K . To this end we may (though this could be avoided) consider unramified quadratic extensions of K . These are Galois of type $(2, 2)$ over \mathbb{Q} . Since a biquadratic field L containing three quadratic subfields K_i of discriminant d_i has discriminant $d_L = d_1 d_2 d_3$ (product of conductors), L/K_3 , say, is (weakly) unramified if and only if $d_3 = d_1 d_2$. We find this way 2^{r-1} weakly unramified quadratic extensions of K_3 (including K_3/K_3), half of which are imaginary in case (2), all real in case (3).

This shows that $d_2(Cl_k^+) = r - 1$ in all cases, and consequently that the extension $Cl_k^+ \rightarrow Cl_k$ splits except in case (3) when $N(\varepsilon) = -1$.

We shall consider later unramified extensions of the form $K(\sqrt{\pm\varepsilon})$. Just note for the while that $K(\sqrt{-1})$ is unramified if and only if $m \equiv 3 \pmod{4}$, corresponding to the choice $d_1 = -4$.

Quadratic fields: extracting square roots of units. Let $K = \mathbb{Q}(\sqrt{m})$ be a quadratic field, of discriminant d ($d = m$ or $d = 4m$), and let ε be a fundamental unit of positive trace. Write $\varepsilon = a + b\sqrt{m}$.

Replacing ε by its cube if need be, we may assume that $a, b \in \mathbb{Z}$. Then if $m \equiv 1 \pmod{4}$ and $N(\varepsilon) = -1$ (resp. $+1$), a is even (resp. odd).

[If $\varepsilon = \frac{\alpha + \beta\sqrt{m}}{2}$ and $\varepsilon^3 = a + b\sqrt{m}$, then if $N(\varepsilon) = -1$ (resp. $+1$), we have $a \equiv 2 \pmod{4}$ (resp. $a \equiv -\alpha \pmod{4}$).]

If $m \equiv 2 \pmod{4}$, or if $m \equiv 1 \pmod{4}$ and $N(\varepsilon) = +1$, a is odd, whereas both parities may occur if $m \equiv 3 \pmod{4}$, which suggest that in this latter case, the parity of a (we shall say the *parity of ε*) might be a kind of analogue of the sign of the units in the former cases.

If $N(\varepsilon) = -1$, $K(\sqrt{\varepsilon})$ and $K(\sqrt{-\varepsilon})$ define two pairs (L_+, L'_+) and (L_-, L'_-) of non-Galois quartic fields having the same Galois closure M , dihedral with Galois group D_4 of degree 8 over \mathbb{Q} , cyclic over $\mathbb{Q}(\sqrt{-1})$, “the” outer automorphism of D_4 exchanging the pairs (L_+, L'_+) and (L_-, L'_-) .

Assume now that $N(\varepsilon) = +1$. Then extracting a square root of ε (or of $-\varepsilon$) is explicit: solving the equation $\sqrt{u_1} + \sqrt{u_2} = \sqrt{\varepsilon}$ we find the two equations

$$u_1 + u_2 = a \text{ and } u_1 u_2 = \frac{mb^2}{4},$$

hence

$$\{u_1, u_2\} = \left\{ \frac{a-1}{2}, \frac{a+1}{2} \right\},$$

and similarly $\{u_1, u_2\} = \left\{ \frac{-a-1}{2}, \frac{-a+1}{2} \right\}$ for $\sqrt{-\varepsilon}$.

Assume first that a is odd. Then writing $u_1 = m_1 \lambda_1^2$ and $u_2 = m_2 \lambda_2^2$ with square-free m_1, m_2 , we have $m_1 m_2 = m$ and $\lambda_1 \lambda_2 = \pm \frac{b}{2}$. (To deal with $K(\sqrt{-\varepsilon})$, one just has to replace (m_1, m_2) by $(-m_2, -m_1)$.) It is clear that both extensions are (weakly) unramified if $m \equiv 3 \pmod{4}$ and that only one of them is otherwise, and that this is $\mathbb{Q}(\sqrt{+\varepsilon})$ if d has no prime factor $\ell \equiv 3 \pmod{4}$.

Note than if one of u_1, u_2 were a square, then ε would be itself a square, which is excluded. This remark has the following consequence:

If m is an odd prime ℓ , then a is even.

[If $\ell \equiv 1 \pmod{4}$ we recover the fact (Hilbert) that $N(\varepsilon) = -1$.]

If $\ell \equiv 3 \pmod{4}$ we prove below a more precise result:

Statement 1. *If $\ell \equiv 3 \pmod{8}$ (resp. $\ell \equiv 7 \pmod{8}$), then $a - 1$ (resp. $a + 1$) is an odd square. In particular, we have $a \equiv 2 \pmod{8}$ (resp. $a \equiv 0 \pmod{8}$).*

Indeed, since $(a - 1)(a + 1) = \ell b^2$, $a - 1$ or $a + 1$ is a square. If $\ell \equiv 3 \pmod{8}$, we have trivially $a \equiv 2 \pmod{4}$, hence $a + 1 \equiv 3 \pmod{4}$ is not a square, so that $a - 1$ is an odd square, and is congruent to 1 modulo 8. The case when $\ell \equiv 7 \pmod{8}$ is dealt with in the same way. \square

If a is even, then both the extensions $K\sqrt{\pm\varepsilon}/K$ are ramified (above 2). Explicitly, we have

$$\varepsilon = \eta^2 \text{ with } \eta = \frac{\sqrt{2(a-1)} + \sqrt{2(a+1)}}{2},$$

so that, in $K(\sqrt{-1}, \sqrt{\varepsilon})$, we have

$$\pm\eta = i \frac{\sqrt{a-1} + \sqrt{a+1}}{1+i}.$$

Embedding quadratic fields into biquadratic fields. Embedding a quadratic field K into a biquadratic field L and applying Theorem 0.1 to the various quadratic extensions L/K_i (where, say, $K = K_3$) proves often useful to obtain properties of h_K and its fundamental unit ε .

We denote by $K_i = \mathbb{Q}(\sqrt{m_i})$ (m_i square-free) the quadratic subfields, d_i their discriminants, h_i their class numbers, E_i their unit groups, ε_i (when K_i is real) their fundamental units, and similarly h and E for L . We also denote by N_i (resp. N'_i) the norm in L/K_i (resp. in K_i/\mathbb{Q}) and by $N = N'_i \circ N_i$ the norm in L/\mathbb{Q} .

Let Q be the index in E of the product of the E_i . If L is imaginary, this is a Hasse index, equal to 1 or 2. If L is real, Q may be equal to 1, 2 or 4. Indeed it suffices to evaluate the number of products of $\pm\varepsilon_i$ which are totally positive. If one ε_i has norm -1 , the only possibility is $\varepsilon_1\varepsilon_2\varepsilon_3$, hence $Q = 1$ or 2 . Otherwise, we must consider products of ε_i of norm $+1$. If $\varepsilon_3 = \eta^2$, we have $m_3 = m_1m_2$, so that at most one of the ε_i can be a square in L . There remains the possibilities $\varepsilon_1\varepsilon_2\varepsilon_3 = \eta^2$ or $\varepsilon_2\varepsilon_3 = \eta^2$ with $\varepsilon_2, \varepsilon_3$ of the same parity, whence $Q \leq 4$, with equality only if $L = \mathbb{Q}(\sqrt{\varepsilon_1}, \sqrt{\varepsilon_2\varepsilon_3})$.

In the examples we shall consider we shall choose as often as possible the following notation: p, p_i stand for primes congruent to 1 modulo 4 (or maybe $p = 2$ or $p_1 = 2$) whereas q, q_i stand for primes congruent to 3 modulo 4. Thus in Statement 1 we could have written q instead of ℓ , a notation we use in the next neighbour statement.

Statement 2. *Let $K = \mathbb{Q}(\sqrt{2q})$, $q \equiv 3 \pmod{4}$, with fundamental unit $\varepsilon = a + b\sqrt{2q}$. Then if $q \equiv 3 \pmod{8}$ (resp. $q \equiv 7 \pmod{8}$), $a - 1$ (resp. $a + 1$) is a square.*

Indeed take for L the compositum of $K_1 = \mathbb{Q}(\sqrt{-p})$ and $K_2 = \mathbb{Q}(\sqrt{-2})$, so that $K_3 = \mathbb{Q}(\sqrt{2q})$. Write $\varepsilon_3 = a + b\sqrt{2q}$. In L we have

$$-\varepsilon_3 = \eta^2 \text{ where } \eta = \sqrt{-\frac{a-1}{2}} + \sqrt{-\frac{a+1}{2}}.$$

Recall that $\frac{a\mp 1}{2} = 2\lambda^2$ and $\frac{a\pm 1}{2} = q\mu^2$. We now apply the ambiguous class formulae, noting that $E = \langle -1, \eta \rangle$. If $q \equiv 3 \pmod{8}$, we have $t = 1$ in L/K_1 , hence $N_1(E) = E_1$, and $-1 \in N_1(E)$ implies that $\frac{a-1}{2} = 2\lambda^2$. If $q \equiv 7 \pmod{8}$, we apply the same arguments, replacing

L/K_1 by L/K_2 . \square

[The equalities $a = 2\lambda^2 + q\mu^2$ and $2\lambda^2 - q\mu^2 = \mp 1$ moreover show that we have $a \equiv 5 \pmod{32}$ (resp. $a \equiv -1 \pmod{16}$).]

Statements involving norms of units (and/or class numbers) are more strongly motivated than mere congruence properties of units, and consequently numerous papers have been published on these questions. Here is a well-known example.

Example 1. Let $K = \mathbb{Q}(\sqrt{p_1 p_2})$, $p_i \equiv 1 \pmod{4}$ (or $p_1 = 2$).

If $\left(\frac{p_1}{p_2}\right) = -1$, then $h_K \equiv 2 \pmod{4}$ and $N(\varepsilon_K) = -1$.

Indeed take $K_1 = \mathbb{Q}(\sqrt{p_1})$ and $K_2 = \mathbb{Q}(\sqrt{p_2})$, so that $K = K_3$. In L/K_1 we have $t = 1$, which implies that h is odd and that $E_1 = N(E)$, hence that $\varepsilon_1 = N_1(\eta)$ for some unit η of L . We then have

$$N'_3(N_3(\eta)) = N(\eta) = N'_1(N_1(\eta)) = N'_1(\varepsilon_1) = -1,$$

which shows that $N'_3(\varepsilon_3) = -1$, and making use of L/K_3 , we check that $h_3 \equiv 2 \pmod{4}$. \square

Note that we may achieve $\eta^2 = \varepsilon_1 \varepsilon_2 \varepsilon_3$, as in the numerical example below for which $m_1 = 5$, $m_2 = 13$ and $m_3 = 5 \cdot 13$:

$$\varepsilon_1 = \frac{1+\sqrt{5}}{2}, \quad \varepsilon_2 = \frac{1+\sqrt{13}}{2}, \quad \varepsilon_3 = 8 + \sqrt{65}, \quad \text{and} \quad \eta = \frac{7+5\sqrt{5}+3\sqrt{13}+\sqrt{65}}{4}.$$

For products $p_1 p_2$ with $\left(\frac{p_1}{p_2}\right) = +1$, $N(\varepsilon)$ may be $+1$ or -1 . Examples: $N(35 + 6\sqrt{34}) = N\left(\frac{15+\sqrt{221}}{2}\right) = +1$, $N(9 + \sqrt{82}) = N(12 + \sqrt{145}) = -1$.

Real quadratic fields with two ramified primes. Besides fields $\mathbb{Q}(\sqrt{q})$, $\mathbb{Q}(\sqrt{2q})$ and $\mathbb{Q}(\sqrt{p_1 p_2})$ (including $p_1 = 2$) considered above, there remains a fourth possibility, namely $\mathbb{Q}(\sqrt{q_1 q_2})$. Here q_1 and q_2 do not play the same rôle, because $\left(\frac{q_1}{q_2}\right)\left(\frac{q_2}{q_1}\right) = -1$. Exchanging q_1 and q_2 if need be, we may assume that $\left(\frac{q_2}{q_1}\right) = +1$.

Statement 3. Let $K = \mathbb{Q}(\sqrt{m})$, $m = q_1 q_2$, with fundamental unit $\varepsilon = a + b\sqrt{m}$. Then we have $a \equiv 1 \pmod{q_1}$ and $a \equiv -1 \pmod{q_2}$.

We prove this taking $K_1 = \mathbb{Q}(\sqrt{q_1})$ and $K_2 = \mathbb{Q}(\sqrt{q_2})$, hence $K = K_3$. As a system of fundamental units for L take $\{\varepsilon_1, \eta, \eta'\}$ where $\eta^2 = \varepsilon_3$ and $\eta'^2 = \varepsilon_1 \varepsilon_2$. Since a single prime ramifies in L/K_1 , we have $N_1(E) = E_1$, and since $N_1(\eta') = \pm \varepsilon_1$, we have $N_1(\eta) = -1$, which means that modulo squares, we have $\frac{a-1}{2} = q_1 \lambda^2$ and $\frac{a+1}{2} = q_2 \mu^2$ for some $\lambda, \mu \in \mathbb{Z}$. [Alternative proof: work in $\mathbb{Q}(\sqrt{-q_1}, \sqrt{-q_2})$.] \square

Real quadratic fields with three ramified primes. We consider the case when $m = pq$ so that $2, p, q$ ramify, and again consider the parity of the fundamental unit ε of $K := \mathbb{Q}(\sqrt{m})$.

Statement 4. *Let $K = \mathbb{Q}(\sqrt{m})$, $m = pq$, with fundamental unit $\varepsilon = a + b\sqrt{m}$. Assume that $\left(\frac{p}{q}\right) = -1$. Then a is even, and according to the classes modulo 8 of p and q , we have*

- (1) $(p, q) \equiv (1, 7): p, q \mid a - 1;$
- (2) $(p, q) \equiv (1, 3): p, q \mid a + 1;$
- (3) $(p, q) \equiv (5, 7): p \mid a - 1, q \mid a + 1;$
- (4) $(p, q) \equiv (5, 3): p \mid a + 1, q \mid a - 1.$

Without any hypothesis on $\left(\frac{p}{q}\right)$ take $K_1 = \mathbb{Q}(\sqrt{q})$ and $K_2 = \mathbb{Q}(\sqrt{p})$, so that $K = K_3$ and $\varepsilon = \varepsilon_3$. We know that h_1 and h_2 are odd and that $N'_2(\varepsilon_2) = -1$, which shows that $-1 \in N_1(E)$, and moreover that modulo squares, a unit in $\prod E_i$ can be a square only if it is one of $\varepsilon_1, \varepsilon_3$ or $\varepsilon_1\varepsilon_3$. But ε_1 is of even type. Hence as a system of fundamental units for L we may take $\{\varepsilon_1, \varepsilon_2, \eta\}$ where if ε_3 is even (resp. odd), $\eta^2 = \varepsilon_1\varepsilon_3$ (resp. $\eta^2 = \varepsilon_3$), which implies that $N_1(\eta) = \pm\varepsilon_1$ (resp. $N_1(\eta) = \pm 1$), hence $[E_1 : N_1(E)] = 1$ (resp. $[E_1 : N_1(E)] = 2$).

Assume now that $\left(\frac{p}{q}\right) = -1$. In L/K_1 we then have $t = 1$, hence $[E_1 : N_1(E)] = 1$, which shows that $\varepsilon_1\varepsilon_3$ is a square, hence that ε_3 is of even type, and that $h \equiv 1 \pmod{2}$.

In L/K_2 ramified primes are one prime above q and one (resp. two) primes above 2 if $p \equiv 5 \pmod{8}$ (resp. $p \equiv 1 \pmod{8}$), and since ε_2 (of norm -1) is not a norm in L/K_2 , we have $[E_2 : N_2(E)] = 2$ (resp. 4), thus $-1 \in N_2(E)$ (resp. $-1 \notin N_2(E)$).

Write $\varepsilon_1 = \frac{\nu_1^2}{2}$ and $\varepsilon_3 = \frac{\nu_3^2}{2}$, where

$$\nu_1 = \alpha_1 + \beta_1\sqrt{q} \text{ and } \nu_3 = \alpha_3\sqrt{q} + \beta_3\sqrt{p} \text{ or } \alpha_3 + \beta_3\sqrt{pq}.$$

Observe that if the second case holds for ν_3 then p divides $a - 1$ or $a + 1$, which implies $\pm 2 \equiv \alpha_3^2 \pmod{p}$, hence $p \equiv 1 \pmod{8}$, and similarly $\pm 2 \equiv q\alpha_3^2 \pmod{p}$, hence $p \equiv 5 \pmod{8}$ if the first case holds.

The norm $N_2(\nu_1\nu_3)$ is thus negative (resp. positive), and since we know the sign of $\alpha_1^2 - q\beta_1^2$ by Statement 1, we can check that the divisibility of $a + 1$ and $a - 1$ by p is as given in the statement; the corresponding result for q then follows. \square

Statement 5. *Let $K = \mathbb{Q}(\sqrt{m})$, $m = pq$, with fundamental unit $\varepsilon = a + b\sqrt{m}$. Assume that $\left(\frac{p}{q}\right) = +1$ and $p \equiv 5 \pmod{8}$. Then a is odd, p divides $a + 1$, and q divides $a - 1$.*

We keep the notation above. Since $\left(\frac{p}{q}\right) = +1$, p splits in $K_1 = \mathbb{Q}(\sqrt{q})$. For any $\mathfrak{p} \mid p$ in K_1 , we have

$$\left(\frac{\varepsilon_1}{\mathfrak{p}}\right) = \left(\frac{\nu_1^2/2}{\mathfrak{p}}\right) = \left(\frac{2}{\mathfrak{p}}\right) = \left(\frac{2}{p}\right) = -1.$$

In L/K_1 we have $t = 2$ and $-1 \in N_1(\varepsilon_2) \in E_1$, but $2 \notin N_1(L^*)$. This shows first that $h = 1$, and next that ε_1 is not a norm in L/K_1 , hence

that $\varepsilon_1\varepsilon_3$ is not a square, which shows that ε_3 is odd, hence the square of a unit η_3 , of the form $\alpha_3\sqrt{q} + \beta_3\sqrt{p}$.

In L/K_2 we have $t = 3$ (two ideals above q and one above 2). Since h and h_2 are odd, we have $[E_2 : N_2(E)] = 4$, which shows that $-1 \notin N_2(E)$, hence that $N_2(\eta_3) = +1$, i.e., that $\beta_2\sqrt{p} > \alpha_3\sqrt{p}$, thus that $p \mid a + 1$ (and consequently, that $q \mid a - 1$). \square

Consider the set of quadratic fields $K = \mathbb{Q}(\sqrt{m})$ in which at least one prime $q \equiv 3 \pmod{4}$ ramifies. We have $r \geq 2$, and when $r = 2$, m is of one of the three types q , $2q$, or q_1q_2 , those of Statements 1 to 3. When $r = 3$, we have either $m = pq$, the case of Statements 4 and 5, or $m = pq_1q_2$. In this latter case, $p = 2$ is not excluded.

Statement 6. *Let $K = \mathbb{Q}(\sqrt{m})$, $m = pq_1q_2$, where $p \equiv 1 \pmod{4}$ or $p = 2$, and $q_1 \equiv q_2 \equiv 3 \pmod{4}$, with fundamental unit $\varepsilon = a + b\sqrt{m}$. Assume that $\left(\frac{p}{q_1}\right) = \left(\frac{p}{q_2}\right) = -1$. Then ε is odd, p divides $a - 1$, and q_1 and q_2 divide $a + 1$.*

For the proof we take $K_1 = \mathbb{Q}(\sqrt{q_1q_2})$ and $K_2 = \mathbb{Q}(\sqrt{p})$, so that $K = K_3$. Since p splits in K_1/\mathbb{Q} and q_1 and q_2 are inert in K_2/\mathbb{Q} , we have $t = 2$ in L/K_1 and L/K_2 .

In L/K_2 , $\pm\varepsilon_2$ are not norms (because $N'_2(\varepsilon_2) = -1$), which implies first $[E_2 : E_2 \cap N_2(L^*)] \geq 2$, then $[E_2 : E_2 \cap N_2(L^*)] = 2$, $h \equiv 1 \pmod{2}$ and $-1 \in N_2(E)$.

In L/K_1 , since h and h_1 are odd, we have $[E_1 : E_1 \cap N_1(L^*)] = 2$, and since $-1 = N_1(\varepsilon_2) \in N_1(E)$, $\pm\varepsilon_1$ nor $\pm\varepsilon_1\varepsilon_3$ are squares. But since $-1 \in N_2(E)$, there exists a unit $\eta \in E$, $\notin \prod E_1$. We may take $\eta^2 = \varepsilon_3$. This shows that ε_3 is odd. Then η is of the form $\alpha_3\sqrt{q_1q_2} + \beta_3\sqrt{p}$, and $N_2(\eta) = -1$ implies $p\beta_3^2 - q_1q_2\alpha_3^2 < 0$, hence $p \mid a - 1$ and $q_1q_2 \mid a + 1$. \square

Statement 7. *Let $K = \mathbb{Q}(\sqrt{m})$, $m = pq_1q_2$, where $p \equiv 1 \pmod{4}$ or $p = 2$, and $q_1 \equiv q_2 \equiv 3 \pmod{4}$, with fundamental unit $\varepsilon = a + b\sqrt{m}$. Assume that $\left(\frac{p}{q_2}\right) = -\left(\frac{p}{q_1}\right)$. Then ε is odd. Choosing q_1 such that $\left(\frac{p}{q_1}\right) = +1$, then pq_2 divides $a + \left(\frac{q_2}{q_1}\right)$ and q_1 divides $a - \left(\frac{q_2}{q_1}\right)$.*

We again take $K_1 = \mathbb{Q}(\sqrt{q_1q_2})$ and $K_2 = \mathbb{Q}(\sqrt{p})$, so that $K = K_3$. In a first step we do not choose which q_i satisfies $\left(\frac{p}{q_i}\right) = +1$. Since p is inert in K_1/\mathbb{Q} , we have $t = 1$ in L/K_1 , which implies $E_1 = N_1(E)$ and $h \equiv 1 \pmod{2}$. Since $\varepsilon_1 \in N_1(E)$ at least one of the units $\varepsilon_1, \varepsilon_1\varepsilon_3$ is a square in L . But $K_1(\sqrt{\varepsilon_1}) = \mathbb{Q}(\sqrt{q_1}, \sqrt{q_2})$ is not contained in L . Hence we have $\varepsilon_1\varepsilon_3 = \eta^2$ for some $\eta \in E$, and since ε_1 is odd and not a square in L , so is also ε_3 . In $M := \mathbb{Q}(\sqrt{p}, \sqrt{q_1}, \sqrt{q_2})$, we can extract square roots η_1 of ε_1 and η_3 of ε_3 (so that $\eta = \eta_1\eta_3$), of the form $\eta_1 = \alpha_1\sqrt{q_1} + \beta_1\sqrt{q_2}$ and $\eta_3 = \alpha_3\sqrt{pq_1} + \beta_3\sqrt{q_2}$ for some choice of

q_1 in $\{q_1, q_2\}$. Calculating $N_2(\eta)$ amounts to negate one of the square roots of q_1, q_2 in M , say, of q_2 .

In L/K_2 , we have $t = 3$, hence $[E_2 : N(E)] = 4$ (h and h_2 are odd), which implies that -1 is not a norm in L/K_2 , hence that the contributions coming from η_1 and η_3 have the same sign. Statement 3 gives us the contribution of η_1 , from which the result follows. \square

To exhaust all possible cases with three ramified primes, at least one of which of type q , there remains to consider fields with $m = 2pq$. The decomposition $m = m_1 m_2$ associated with $K(\sqrt{\varepsilon})$ can be predicted for 6 out of the 8 values of $((\frac{2}{p}), (\frac{2}{q}), (\frac{p}{q})) \in (\pm 1, \pm 1, \pm 1)$ (just exclude $(+1, \pm 1, +1)$).

Concluding remarks.

(1) The structure of Cl_2 for quadratic fields suggests that real quadratic fields in which r primes including one $\equiv 3 \pmod{4}$ ramify behave like those having $r - 1$ ramified primes, all $\equiv 1 \pmod{4}$ (or 2). Though considering more than three ramified primes is possible, results on parity of units and distribution of primes among divisors of $a - 1$ and $a + 1$ then become complicated and it is then difficult to write down simple statements.

(2) The question of the parity of the unit does not appear when the prime 2 is highly ramified (i.e., $m \equiv 2 \pmod{4}$). Could one guess a more subtle invariant in this case?

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